



EAI 2766
9131

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

N/A

Application Number	09/378,226
Filing Date	August 19, 1999
First Named Inventor	Mark D. Riggins
Art Unit	2766
Examiner Name	Unknown

RECEIVED

JUN 06 2003

Technology Center 2100

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)	<input type="checkbox"/> Assignment and Recordation Cover Sheet (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/> Drawing(s) _____ Sheets	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> With RCE	<input type="checkbox"/> RCE	<input type="checkbox"/> Status Request
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input checked="" type="checkbox"/> Return Postcard	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement (2 pages)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> PTO Form 1449 (2 pages)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> 9 References		Remarks
<input type="checkbox"/> Declaration/Oath		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Daryl C. Josephson, Reg. No. 37,365 Squire, Sanders & Dempsey L.L.P. 600 Hansen Way Palo Alto, CA 94304-1043
Signature	
Date	June 2, 2003

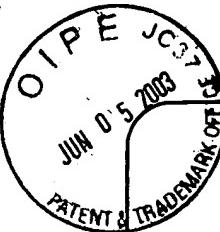
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Sandy Yi
Signature	
Date	June 2, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

- Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

0

Complete if Known	
Application Number	09/378,226
Filing Date	August 19, 1999
First Named Inventor	Mark D. Riggins
Examiner Name	Unknown
Art Unit	2766
Attorney Docket No.	43630.00011

RECEIVED

JUN 06 2003

Technology Center 2100

METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)																																																																																																																															
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account					3. ADDITIONAL FEES																																																																																																																															
Deposit Account Number: 05-0150 Deposit Account Name: Squire, Sanders & Dempsey L.L.P.					<table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> </tr> </thead> <tbody> <tr><td>Fee Code</td><td>Fee Code (\$)</td></tr> <tr><td>1051</td><td>130</td></tr> <tr><td>1052</td><td>50</td></tr> <tr><td>1053</td><td>130</td></tr> <tr><td>1812</td><td>2,520</td></tr> <tr><td>1804</td><td>920*</td></tr> <tr><td>1805</td><td>1,840*</td></tr> <tr><td>1251</td><td>110</td></tr> <tr><td>1252</td><td>410</td></tr> <tr><td>1253</td><td>930</td></tr> <tr><td>1254</td><td>1,450</td></tr> <tr><td>1255</td><td>1,970</td></tr> <tr><td>1401</td><td>320</td></tr> <tr><td>1402</td><td>320</td></tr> <tr><td>1403</td><td>280</td></tr> <tr><td>1451</td><td>1,510</td></tr> <tr><td>1452</td><td>110</td></tr> <tr><td>1453</td><td>1,300</td></tr> <tr><td>1501</td><td>1,300</td></tr> <tr><td>1502</td><td>470</td></tr> <tr><td>1503</td><td>630</td></tr> <tr><td>1460</td><td>130</td></tr> <tr><td>1807</td><td>50</td></tr> <tr><td>1806</td><td>180</td></tr> <tr><td>8021</td><td>40</td></tr> <tr><td>1809</td><td>750</td></tr> <tr><td>1810</td><td>750</td></tr> <tr><td>1801</td><td>750</td></tr> <tr><td>1802</td><td>900</td></tr> <tr><td colspan="2">Fee Description</td></tr> <tr><td colspan="2">Surcharge - late filing fee or oath</td></tr> <tr><td colspan="2">Surcharge - late provisional filing fee or cover sheet.</td></tr> <tr><td colspan="2">Non-English specification</td></tr> <tr><td colspan="2">For filing a request for reexamination</td></tr> <tr><td colspan="2">Requesting publication of SIR prior to Examiner action</td></tr> <tr><td colspan="2">Requesting publication of SIR after Examiner action</td></tr> <tr><td colspan="2">Extension for reply within first month</td></tr> <tr><td colspan="2">Extension for reply within second month</td></tr> <tr><td colspan="2">Extension for reply within third month</td></tr> <tr><td colspan="2">Extension for reply within fourth month</td></tr> <tr><td colspan="2">Extension for reply within fifth month</td></tr> <tr><td colspan="2">Notice of Appeal</td></tr> <tr><td colspan="2">Filing a brief in support of an appeal</td></tr> <tr><td colspan="2">Request for oral hearing</td></tr> <tr><td colspan="2">Petition to institute a public use proceeding</td></tr> <tr><td colspan="2">Petition to revive – unavoidable</td></tr> <tr><td colspan="2">Petition to revive – unintentional</td></tr> <tr><td colspan="2">Utility issue fee (or reissue)</td></tr> <tr><td colspan="2">Design issue fee</td></tr> <tr><td colspan="2">Plant issue fee</td></tr> <tr><td colspan="2">Petitions to the Commissioner</td></tr> <tr><td colspan="2">Processing fee under 37 CFR 1.17 (q)</td></tr> <tr><td colspan="2">Submission of Information Disclosure Stmt</td></tr> <tr><td colspan="2">Recording each patent assignment per property (times number of properties)</td></tr> <tr><td colspan="2">Filing a submission after final rejection (37 CFR § 1.129(a))</td></tr> <tr><td colspan="2">For each additional invention to be examined (37 CFR § 1.129(b))</td></tr> <tr><td colspan="2">Request for Continued Examination (RCE)</td></tr> <tr><td colspan="2">Request for expedited examination of a design application</td></tr> <tr><td colspan="2">Other fee (specify) _____</td></tr> <tr><td colspan="2">*Reduced by Basic Filing Fee Paid</td></tr> <tr><td colspan="2">SUBTOTAL (3) (\$0)</td></tr> </tbody> </table>				Large Entity	Small Entity	Fee Code	Fee Code (\$)	1051	130	1052	50	1053	130	1812	2,520	1804	920*	1805	1,840*	1251	110	1252	410	1253	930	1254	1,450	1255	1,970	1401	320	1402	320	1403	280	1451	1,510	1452	110	1453	1,300	1501	1,300	1502	470	1503	630	1460	130	1807	50	1806	180	8021	40	1809	750	1810	750	1801	750	1802	900	Fee Description		Surcharge - late filing fee or oath		Surcharge - late provisional filing fee or cover sheet.		Non-English specification		For filing a request for reexamination		Requesting publication of SIR prior to Examiner action		Requesting publication of SIR after Examiner action		Extension for reply within first month		Extension for reply within second month		Extension for reply within third month		Extension for reply within fourth month		Extension for reply within fifth month		Notice of Appeal		Filing a brief in support of an appeal		Request for oral hearing		Petition to institute a public use proceeding		Petition to revive – unavoidable		Petition to revive – unintentional		Utility issue fee (or reissue)		Design issue fee		Plant issue fee		Petitions to the Commissioner		Processing fee under 37 CFR 1.17 (q)		Submission of Information Disclosure Stmt		Recording each patent assignment per property (times number of properties)		Filing a submission after final rejection (37 CFR § 1.129(a))		For each additional invention to be examined (37 CFR § 1.129(b))		Request for Continued Examination (RCE)		Request for expedited examination of a design application		Other fee (specify) _____		*Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$0)	
Large Entity	Small Entity																																																																																																																																			
Fee Code	Fee Code (\$)																																																																																																																																			
1051	130																																																																																																																																			
1052	50																																																																																																																																			
1053	130																																																																																																																																			
1812	2,520																																																																																																																																			
1804	920*																																																																																																																																			
1805	1,840*																																																																																																																																			
1251	110																																																																																																																																			
1252	410																																																																																																																																			
1253	930																																																																																																																																			
1254	1,450																																																																																																																																			
1255	1,970																																																																																																																																			
1401	320																																																																																																																																			
1402	320																																																																																																																																			
1403	280																																																																																																																																			
1451	1,510																																																																																																																																			
1452	110																																																																																																																																			
1453	1,300																																																																																																																																			
1501	1,300																																																																																																																																			
1502	470																																																																																																																																			
1503	630																																																																																																																																			
1460	130																																																																																																																																			
1807	50																																																																																																																																			
1806	180																																																																																																																																			
8021	40																																																																																																																																			
1809	750																																																																																																																																			
1810	750																																																																																																																																			
1801	750																																																																																																																																			
1802	900																																																																																																																																			
Fee Description																																																																																																																																				
Surcharge - late filing fee or oath																																																																																																																																				
Surcharge - late provisional filing fee or cover sheet.																																																																																																																																				
Non-English specification																																																																																																																																				
For filing a request for reexamination																																																																																																																																				
Requesting publication of SIR prior to Examiner action																																																																																																																																				
Requesting publication of SIR after Examiner action																																																																																																																																				
Extension for reply within first month																																																																																																																																				
Extension for reply within second month																																																																																																																																				
Extension for reply within third month																																																																																																																																				
Extension for reply within fourth month																																																																																																																																				
Extension for reply within fifth month																																																																																																																																				
Notice of Appeal																																																																																																																																				
Filing a brief in support of an appeal																																																																																																																																				
Request for oral hearing																																																																																																																																				
Petition to institute a public use proceeding																																																																																																																																				
Petition to revive – unavoidable																																																																																																																																				
Petition to revive – unintentional																																																																																																																																				
Utility issue fee (or reissue)																																																																																																																																				
Design issue fee																																																																																																																																				
Plant issue fee																																																																																																																																				
Petitions to the Commissioner																																																																																																																																				
Processing fee under 37 CFR 1.17 (q)																																																																																																																																				
Submission of Information Disclosure Stmt																																																																																																																																				
Recording each patent assignment per property (times number of properties)																																																																																																																																				
Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																				
For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																				
Request for Continued Examination (RCE)																																																																																																																																				
Request for expedited examination of a design application																																																																																																																																				
Other fee (specify) _____																																																																																																																																				
*Reduced by Basic Filing Fee Paid																																																																																																																																				
SUBTOTAL (3) (\$0)																																																																																																																																				
The Director is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.																																																																																																																																				
FEE CALCULATION																																																																																																																																				
1. BASIC FILING FEE																																																																																																																																				
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid																																																																																																																												
1001	750	2001	375	Utility filing fee																																																																																																																																
1002	330	2002	165	Design filing fee																																																																																																																																
1003	520	2003	260	Plant filing fee																																																																																																																																
1004	750	2004	375	Reissue filing fee																																																																																																																																
1005	160	2005	80	Provisional filing fee																																																																																																																																
SUBTOTAL (1) (\$0)																																																																																																																																				
2. EXTRA CLAIM FEES		Extra Claims	Fee from below	Fee Paid																																																																																																																																
Total Claims	_____ -20 ** = 0	X _____	= 0																																																																																																																																	
Independent Claims	_____ -3 ** = 0	X _____	= 0																																																																																																																																	
Multiple Dependent		X _____	= 0																																																																																																																																	
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description																																																																																																																																
1202	18	2202	9	Claims in excess of 20																																																																																																																																
1201	84	2201	42	Independent claims in excess of 3																																																																																																																																
1203	280	2203	140	Multiple dependent claim, if not paid																																																																																																																																
1204	84	2204	42	** Reissue independent claims over original patent																																																																																																																																
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent																																																																																																																																
SUBTOTAL (2) (\$0)																																																																																																																																				

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY						Complete (if applicable)	
Name (Print/Type)	Daryl C. Josephson	Registration No. Attorney/Agent)	37,365	Telephone	650.856.6500		
Signature			Date	June 2, 2003			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PATENT
Attorney Docket No.: 43630.00011

#11
6-16-03
9M

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mark D. Riggins

Serial No.: 09/378,226

Examiner: Unknown

Filed: August 19, 1999

Art Unit: 2766

Title: SYSTEM AND METHOD FOR
ENCRYPTING AND DECRYPTING
FILES

RECEIVED

JUN 06 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Technology Center 2100

**INFORMATION DISCLOSURE STATEMENT PURSUANT TO
37 C.F.R. §§1.97(b)**

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56 and pursuant to 37 CFR §§1.97-1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the references listed on the enclosed Form PTO-1449. One copy of each reference cited is submitted herewith.

The present Supplemental Information Disclosure Statement is being filed more than three months after the filing date but before receiving the first Office Action. Accordingly, no fee or certification is needed.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant reserves the right to dispute any of the listed documents as prior art during examination. Furthermore, Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Supplemental Information Disclosure Statement is not to be

construed as a representation that a search has been made or that no other material information may exist.

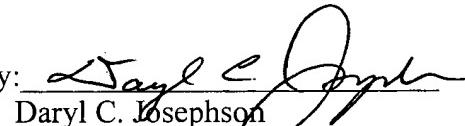
The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

If for any reason an insufficient fee has been paid, please charge the insufficiency to Deposit Account No. 05-0150.

Date: 5/30/03

Respectfully submitted,

Squire, Sanders & Dempsey L.L.P.
600 Hansen Way
Palo Alto, CA 94304-1043
Telephone (650) 856-6500
Facsimile (650) 843-8777

By: 
Daryl C. Josephson
Attorney for Applicant
Reg. No. 37,365

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

Date: June 2, 2003 By: Sandy Yi
Sandy Yi